

PATENT Attorney Docket No. 225101

Client Reference No. GN 02128-THSTAB5

Group Art Unit: Unassigned

Examiner: Unassigned

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Geuens et al.

Application No. 10/712,189

Filed: November 13, 2003

For: STABILIZERS FOR USE IN

SUBSTANTIALLY LIGHT-

INSENSITIVE THERMOGRAPHIC

RECORDING MATERIALS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

within any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date

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| | of a firs | st Office Action on the merits; or (d) before the mailing of a first Office Action e filing of a request for continued examination under 37 CFR 1.114. | | | |
|-------------|--|--|--|--|--|
| | 37 CFR | a), (b), (c) or (d) above, but before the mailing date of a final action under 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that se closes prosecution in the application, and includes <i>one</i> of: | | | |
| | | the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below). | | | |
| | \Box | the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below). | | | |
| | under 3 and on 37 CFR | ne mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance 7 CFR 1.311, or an action that otherwise closes prosecution in the application, or before payment of the issue fee, and includes the Statement under 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as an in 37 CFR 1.17(p) (see "Fees" below). | | | |
| | after the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and within thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below). NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed. | | | | |
| Copies | s of the l | References | | | |
| \boxtimes | Attacher relevan an Engaction degree | of the references listed on the enclosed Form 1449 are enclosed herewith. It to each reference not in the English language is a concise explanation of the ce pursuant to 37 CFR 1.98(a)(3). An English-language equivalent/patent, or lish-language abstract, or an English-language version of the search report or by a foreign patent office in a counterpart foreign application indicating the of relevance found by the foreign office is being submitted in lieu of a concise ation of the relevance pursuant to 37 CFR 1.98(a)(3). | | | |
| | A copy | of the foreign search report is enclosed herewith. | | | |
| | parent furnishe submitt The E: accorda Procedu relied u | Gerences listed on the enclosed Form 1449 were previously identified in the application(s) of the present application, and copies of the references were ed at that time. Accordingly, additional copies of the references are not ed herewith, so as not to burden the file with duplicate copies of references. Examiner is respectfully requested to carefully review the references in the manual of Patent Examining are. In accordance with 37 CFR 1.98(d), the details of the parent application(s) apon for an earlier filing date under 35 USC 120 in which copies of the dees were previously furnished are set out below: | | | |

| | U.S. APPLI | CATIONS | St | tatus <i>(check c</i> | ne) |
|-------|--|---|---|---|--|
| U | J.S. APPLICATIONS | U.S. FILING DATE | PATENTED | PENDING | ABANDONED |
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| State | ment under 37 CFR | 1.97(e) | | | |
| | Information Discletoreign patent officers | hereby states that each osure Statement was force in a counterpart force filing of the Information | irst cited in a ign patent appl | ny commun lication not i | ication from a |
| | Information Disclo office in a count undersigned after r | hereby states that no sure Statement was cited erpart foreign patent apmaking reasonable inquiosure Statement was | d in a communication, and ry, no item of item | ication from , to the kno information o | a foreign patent owledge of the contained in the |

Statement under 37 CFR 1.704(d)

Disclosure Statement.

| The undersigned hereby states that each item of information contained in the |
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| Information Disclosure Statement was cited in a communication from a foreign patent |
| office in a counterpart application and that this communication was not received by |
| any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing |
| of the Information Disclosure Statement. |
| |

37 CFR 1.56(c) more than three months prior to the filing of the Information

Fees

| \boxtimes | No fee is owed by the applicant(s). |
|-------------|---|
| | The IDS Fee of \$180 under 37 CFR 1.17(p) is enclosed herewith. |
| | |

Method of Payment of Fees

| | Attached is a check in the amount of \$ Charge Deposit Account No. 12-1216 in the amount of \$ | . (A duplicate copy of |
|---|--|------------------------|
| _ | this communication is enclosed for that purpose.) | (1 17 |

Authorization to Charge Additional Fees

If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

In re Appln. of Geuens et al. Application No. 10/712,189

Credit Account No. 12-1216.
Refund

Christopher T/Griffith, Reg. No. 33,392

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Date: January 6, 2004

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 1/6/04 Virguio Stephe

| Please type a plus sign (+) inside this box \rightarrow H | | |
|---|----------------------|-------------------|
| E | 9 | Complete if Known |
| Substitute for form 1449A/B/PTO | Application Number | 10/712,189 |
| INFORMATION DISCLOSURE | Filing Date | November 13, 2003 |
| | First Named Inventor | Geuens |
| STATEMENT BY APPLICANT | Group Art Unit | Unassigned |
| (Use as many sheets as necessary) | Examiner Name | Unassigned |
| | | |

Attorney Docket Number

225101

| | | | • | U.S. PATENT DOCUMENTS | | |
|----------------------|-------------|---------------------------------|-----------|-------------------------------|------------------------|-------------------------------|
| | | U.S. Patent Doo | cument | | | |
| Examiner Initials | Doc. No. | Application or Patent Number | Kind Code | Name of Patentee or Applicant | Date of Publication | Filing Date If Appropriate |
| | AP | 4,201,582 | | White | May 6, 1980 | |
| | AQ | 5,686,228 | | Murray et al. | Nov. 11, 1997 | |
| <u> </u> | AR | 2001/0038977 | A1 | Katoh | Nov. 8, 2001 | |
| | AS | 2002/0155401 | A1 | Yamaguchi | Oct. 24, 2002 | |
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| Foreign Patent Document | | Foreign Patent Document | | | | Translation | | |
|-------------------------|-------------|-------------------------|---------------------------------|--------------|-------------------------------|---------------------|-----|------|
| Examiner Initials | Doc. No. | Office | Application or Patent Number | Kind Code | Name of Patentee or Applicant | Date of Publication | Yes | No*1 |
| | ΑT | EP | 0 933 672 | A1 | Konica Corp. | Aug. 4, 1999 | | - |
| | | | | : | | | | - |
| | | | | + | | | | |

| | | OTHER - NON PATENT LITERATURE DOCUMENTS | | |
|----------|------|--|------------|--------|
| Examiner | Doc. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item | Trans | lation |
| Initials | No. | (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published. | Yes | No*+ |
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| Examiner Signature | Date Considered |
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A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).